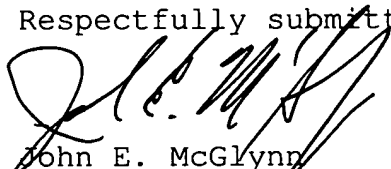


REMARKS

A speedy and favorable first office action is respectfully solicited.

Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached page is captioned "Version with markings to show changes made."

Respectfully submitted,


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Date:

3/15/01

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claims 3, 5, and 7 have been amended as follows:

3. (Amended) The method of claim 1, wherein said step of [set of] processing the financial data to derive values for a set of searchable parameters corresponding to stock option spreads comprises the step of processing the financial data to derive values for at least one of the following searchable parameters: Black-Scholes ratio; option volume percentage; implied volatility; percentage to double; industry groupings; recommended listings; and percentage return on an option spread.

5. (Amended) The method of claim 1, further comprising the steps of:

receiving a request to execute one of the set of option spreads [a particular option strategy]; and

forwarding said request to execute one of the set of option spreads [a particular option strategy] to a brokerage computer system operable to execute trades on stocks and stock options, wherein said request [to execute a particular option strategy] entails executing trades on a plurality of stock options.

7. (Amended) The method of claim [1] 6, wherein said step of formatting a listing of option spreads comprises the step of

formatting a chain of option spreads [strategies], said chain of option spreads including a list of option spreads having options expiring in the same month.

Claims 11 through 29 have been added per this Preliminary Amendment.